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| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/902,883 | |
| | Filing Date | 07/11/2001 | |
| | First Named Inventor | Meichun Ruan | |
| | Art Unit | 2874 | |
| | Examiner Name | Song, Sarah U. | |
| Total Number of Pages in This Submission | 4 | Attorney Docket Number | 12218.1100 (027.0004) |

| ENCLOSURES (Check all that apply) | | |
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| Firm or Individual name | Brett A. Carlson, Reg. No. 39,828 |
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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Ruan et al.

Application No./Patent No.: 09/902,883 Filed/Issued Date: July 11, 2001

Entitled: OPTICAL MEMS SWITCHING ARRAY WITH EMBEDDED BEAM-CONFINING CHANNELS AND METHOD OF OPERATING SAME

Arizona State University, a non-profit organization

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
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The extent (by, percentage) of its ownership interest is _____ %
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A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014290 Frame 0079, or for which a copy thereof is attached.

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B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2/3/04
Date

Telephone number

Dr. Gregory Raupp

Typed or printed name

Gregory B. Raupp
Signature

Associate Vice President for Research

Title

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